MAY 09 '03 16:55 FR IPLAW E FISHKILL



**TECHNOLOGY CENTER 2800** 

### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT:	SUDIPTA K. RAY	) Group Art Unit: 2822
		) • -
SERIAL NO.	09/885,791	)
		) Examiner: Richard Kim
FILED:	June 20, 2001	)
		)
TITLE:	INTERCONNECT STRUCTURE	) Confirmation No. 4507
	AND PROCESS FOR SILICON	)
	OPTICAL BENCH	)

Via Facsimile to 703-872-9318 Assistant Commissioner For Patents Washington, D.C. 20231

### RESPONSE TO RESTRICTION REQUIREMENT

In response to the restriction requirement mailed on September 19, 2002, and in accordance with the provisions under 37 CFR § 1.115 and 1.143, the Applicants submit the following election for further prosecution on the merits:

### Election:

The Applicants hereby provisionally elect Group I, claims 1-18, directed to a process of forming an optical subassembly in an integrated circuit, classified in Class 385, subclass 14.

International Business Machines Corporation East Fishkill Facility 2070 Route 52, B300/482 Hopewell Junction, NY 12533-6531

# TECHNOLOGY CENTER 2800

E00S S I YAM

#### FACSIMILE TRANSMITTAL LEGEND

EVX BECEIVED THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE JANUARY OF THE WASHINGTON OF THE USE ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IP YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

FACSIMILE COVER SHEET

### INTERNAL USE ONLY \_\_ IBM CONFIDENTIAL \_\_ NUMBER OF PAGES TO FOLLOW FAX: 845-892-6363 FROM: SEND TO: Tiffany Townsend Name:\_ Richard Kim Dept/Bldg/Zip: I P Law Dept. fax: 703-746-9050 Location: East Fishkill, NY Phone #: 845-894-3668 Return to Sender (yes or no): EAST FISHKILL TELECOPIER NUMBERS (FILL IN EXTENSIONS OF YOUR FACSIMILE) (845) 892-6363 TIE LINE: 8-532-6363 OUTSIDE NUMBER: CONTACT NUMBER: TIE LINE: MESSAGE: Docket FIS920000347US1 fax transmission of Oct. 11,2002



845 892 6363 TO 917037469050

P.02/05

10/11/02 12:25:32

USPTO->

8606887016 Right<sup>r</sup>

**P**RECEIVED

TO: Auto-reply fax to 8602860115 COMPANY:

MAY 1 2 2003

## **Auto-Reply Facsimile Transmission**

**TECHNOLOGY CENTER 2800** 



TO:

Fax Sender at 8602860115

Fax Information Date Received:

10/11/02 12:21:12 PM [Eastern Daylight Time]

Total Pages: 3 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received Cover Page

CERTIFICATE OF Applicant(s): Sudiput K	TRANSMISSION BY PAC Ray at al.	281941LE (97 CFR 1-2)	Docket No. F78920000347U81
Soriel Mo. 09/885.791	Fling Dete June 20, 2001	Examiner Richard E. Kim	Group Art Unit
invention; Difference	cict structure and tro	CESS DOR STUCON OFFICAL I	MENCE
I haveby curtify that this	Respon	nse to Rastriction Requirement (2 :	eral .
• •		Chiefy greeformmentours in and Tradement Office (Fax, No.	
on Oerober 33		it 9th a sentition Americal CMC um	
(Deep 14			
		•	
		Patricia A. [8]	Series Comments
		//stall	Hont
		[ Glammered	
	Minho Kach paper must b	are its one estilicits of malling.	
			]
			1
			í
			ĺ

CEPTIFICATE OF	TRANSMISSION BY FACS	SIMILE (37 CFR 1.8)		Docket No.					
CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): Sudipta K. Ray et al.				FIS920000347US1					
Serial No. 09/885,791	Filing Date June 20, 2001	Examiner Richard H. Kim		Group Art Unit 2822					
	09/885,/91 Julie 20, 2001								
Invention: INTERCONNECT STRUCTURE AND PROCESS FOR SILICON OPTICAL BENCH									
I hereby certify that this	Respons	se to Restriction Requirement	(2 pgs)						
is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-872-9318									
0 . 1 . 14 2000									
On October 11 (Date)									
				•					
Patricia A. Hart  (Typed Typed Name of Person Signing Certificate)									
lated Hart									
	<del></del>	! (Signatur	re)						
	Note: Each paper must ha	ve its own certificate of mailing.							
MANCE TWENT BUILD WAS IN OUR CELEBOOK AT MUNICIPE.									
		ត 	74 %	PECEIVED					
			MA	Y 1 2 2003					
		TEC	, i în e e	July CENTER 2800					